This Order has been published by NASD's Office of Hearing Officers and should be cited as OHO Order 03-07 (CAF020048).

DEPARTMENT OF ENFORCEME	ENT,	:
	Complainant,	Disciplinary Proceeding No. CAF020048
v.		Hearing Officer - SW
	Respondents.	:

NASD OFFICE OF HEARING OFFICERS

PRE-HEARING ORDER DIRECTING TO FILE A POWER OF ATTORNEY

On March 31, 2003, the Hearing Officer issued a Supplemental Pre-Hearing Order,

directing VC to file a notice of appearance on behalf of Respondent ______

("_____") if he wanted his March 28, 2003 answer to be accepted. The supplemental

document filed by Mr. C via facsimile transmission on April 7, 2003 is not sufficient.

Pursuant to Rule 9141(b), the Notice of Appearance must be a written notice stating the name of the proceeding, the representative's name, business address, and telephone number and the name and address of the entity being represented. Mr. C failed to indicate his title and

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evidence that he is a bona fide officer of ______. Mr. C is directed to file a power of attorney with the appropriate certified corporate resolution indicating that he is authorized to act for ______.

With respect to the information concerning the filing of the BDW with NASD, _____

_____ is directed to supplement the information with the date of the filing and a copy of the filing. The appropriate notice and supplemental information must be filed no later than 5:00 p.m., Eastern Time, April 16, 2003. A failure to do so may result in ______ being deemed in default. ______ is reminded that all papers that are filed must be accompanied by certificate of service as required by Rule 9135(c).

If there are any questions regarding a filing, the Parties may address any questions initially to Nick Laliberté, the legal assistant in this matter, at (202) 728-8460.

SO ORDERED.

Sharon Witherspoon Hearing Officer

Dated: Washington, DC April 8, 2003