Marcia E. Asquith Office of the Corporate Secretary FINRA 1735 K Street, NW Washington, DC 20006-1506

Re: Regulatory Notice 14-09

Dear Ms. Asquith,

XT Capital Partners is a FINRA member specializing in Placement Agent services on behalf of Investment Management firms who offer important products and services to Institutional Investors. We are also members of the Third Party Marketer's Association (3PM). We have had an opportunity to review 3PM's comprehensive comments regarding the rule set proposed by Regulatory Notice 14-09 for Limited Corporate Finance Brokers (LCFB). My Partners and I strongly urge FINRA's Board to carefully consider 3PM's thoughtful and informed commentary which is attached hereto and which has earned our strong support.

Respectfully submitted,

Frank Minard on behalf of XT Capital Partners

Frank P. L. Minard Managing Partner XT Capital Partners, LLC 311 Post Road East Westport, CT 06880 T 212 909 2685 C 917 502 1404