How to submit WSP for 3110.18 Remote Inspection

1. Login into FINRA Gateway. Look for Regulatory Task Catalog widget.

Profile Search	© ⊕	Regulatory Task Catalog		@ ⊕
		(i) Browse forms, filings or other regulatory items you want to initiate or learn more.		
		Search Criteria		
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2. Search for "WSP" or "Remote Inspection" in the Regulatory Task Catalog

i Browse forms, fili	ngs or other regulatory items you want to initiate or learn more.	
Search Criteria		
WSP		\sim \cap

- 3. Click on "Get Started" button to create your WSP form.
- 4. Submit your WSPs as one PDF file.

Regulatory Task Catalog	wsp X Q	
Browse forms, filings, or other regulatory items you want to initiate or learn more.	3110.18 Remote Inspection WSPs 🔒 Learn More	
Browse Categories	Firms Opted in for the current fiscal year are required to submit their WSP and subsequent amendment if any to continue to participate in a three-year remote inspec their Rule 3110(xt) inspection obligation.	
Favorites	Related Rules: EINRA Rule 3110.18	GET STARTED
4530 Reporting		< 1 >
Advertising Regulation		_
Bluesheets		
COBRA Public Offering		

5. Using the same WSP form to submit a revised WSP PDF file, if your firm has made any material changes to the WSP.