

Financial Professional Gateway

ENROLLING IN THE MQP and/or EVEP

Eligible individuals can use this guide to enroll in FINRA's Maintaining Qualifications Program (MQP) to maintain their FINRA qualification exams and/or NASAA's Exam Validity Extension Program (EVEP) to extend the validity of their state exams for up to five years in participating jurisdictions.

TABLE OF CONTENTS

Accessing FinPro.....

3

Enrolling in the MQP and/or EVEP.....

3

Launching MQP Continuing Education Courses.....

9

Additional MQP and EVEP Information in FinPro

10

1. Enrolled Qualifications

10

2. Previously Enrolled Qualifications.....

11

3. Eligible Qualifications.....

12

Accessing FinPro

MQP and EVEP enrollment is only available to eligible individuals through their Financial Professional Gateway (FinPro) account.

If you have logged in to FinPro before, you can navigate directly to the [FinPro login page](#). If you do not have a FinPro account, you may create one [here](#).

Enrolling in the MQP and/or EVEP

If you are eligible for FINRA's MQP and/or NASAA's EVEP, you will see an invitation to enroll for each program displayed on your **Dashboard My Tasks** list. Click on **View Program Details** to get more information, then click **ENROLL** for the program you want to join.

The screenshot shows the FINRA Financial Professional Gateway user dashboard. At the top, the user is logged in as 'Financial Professional Gateway User: [redacted] (CRD# [redacted])'. The dashboard is titled 'My Dashboard' and features a sidebar with navigation icons. The main content area is divided into 'My Tasks' and 'Shortcuts'. The 'My Tasks' section lists three items: 1. 'Confirm that your home address is up to date:' with a 'View Details' link and a 'Current Address' field, followed by an 'EDIT' button. 2. 'Investment Adviser Representative CE (IAR CE)' with a 'CE Inactive' status and 'Past Due as of 12/31/2024', followed by a 'View Program Details' link and a 'GO TO NASAA SITE' button. 3. 'Maintaining Qualifications Program (MQP)' with an 'Eligible' status until 12/31/2026, followed by a 'View Program Details' link and an 'ENROLL' button. 4. 'Agent Exam Validity Extension Program (AG EVEP)' with an 'Eligible' status until 12/31/2026, followed by a 'View Program Details' link and an 'ENROLL' button. The 'Shortcuts' section includes links for 'Print Profile', 'View US', 'Print CE Transcript', 'Go to Legacy FinPro', and 'Go to FINRA.org'. The 'Continuing Education Program Status' section shows the following status: 'Reg Element CE' (Not Required), 'MQP' (Eligible), 'AG EVEP' (Eligible), 'IAR CE' (Inactive), and 'IAR EVEP' (Pending IARCE).

To start the enrollment process, you will be shown high-level descriptions for each program.

Program Enrollment

What is the FINRA Maintaining Qualifications Program (MQP)?

The FINRA MQP permits individuals who have terminated their representative or principal registration(s) to maintain their qualification(s) for up to five years. Participating in the FINRA MQP does not eliminate the two-year qualification termination period. Rather, it provides participants with an alternative means of staying current on their regulatory and securities knowledge for up to five years, following the termination of their registration(s), by taking continuing education (CE) courses. Individuals participating in the FINRA MQP who subsequently return to a firm will not be required to retake qualification exam(s) to reregister as a representative or principal.

How does one participate in the FINRA MQP?

To enroll, an individual must sign into their FinPro account, follow the prompts to select the qualification(s) they want to maintain and pay the enrollment fee. To maintain their FINRA MQP participation, an individual must pay the annual fee and complete their assigned annual CE learning plan by the due date specified in FinPro.

What is the cost for participating in the FINRA MQP?

The FINRA MQP has an annual program fee of \$100 regardless of the number of registration categories for which an individual elects to remain qualified.

Where can one learn more about the FINRA MQP?

To learn more about the FINRA MQP, visit the [MQP webpage](#).

Click **CONTINUE** to proceed. Click **BACK** to return to your FinPro account.

Program Enrollment

What is NASAA's Exam Validity Extension Program (EVEP)?

NASAA's EVEP provides an opportunity for registered representatives and investment adviser representatives to extend their NASAA qualification exams (Series 63, 65 and/or the AG and/or RA qualifications acquired through the Series 66) for a period up to five years by opting into the program, paying an annual fee and maintaining certain continuing education requirements. Registered representatives and investment adviser representatives who opt into NASAA's EVEP can extend the validity of their exams past the standard two years when not registered with a jurisdiction. This will benefit the individual when they reenter the industry and apply for registration in a jurisdiction that has opted into the program. Please note, if the jurisdiction does not participate in NASAA's EVEP, the exam extension will not be recognized in their jurisdiction. Visit the [NASAA EVEP webpage](#) for a list of participating jurisdictions.

How does one participate in NASAA's EVEP?

To participate, an individual must (1) have been registered in the terminated registration category for at least one year immediately prior to the termination of that category; (2) elect to participate within two years from the termination of a registration; (3) For NASAA's AG EVEP, must be enrolled and in good standing in the FINRA MQP and for NASAA's IAR EVEP, must not have an IAR CE deficiency. To enroll, an individual must sign in to their FinPro account, follow the prompts to select the qualification(s) they would like to maintain and pay the enrollment fee. To maintain their NASAA EVEP status, an individual must, on an annual basis, pay the NASAA EVEP fee and complete the applicable continuing education.

What is the cost for participating in NASAA's EVEP?

There is a \$35 annual fee per qualification enrolled in NASAA's EVEP.

Where can one learn more about NASAA's EVEP?

To learn more about NASAA's EVEP, visit the [NASAA's EVEP webpage](#).

Click **CONTINUE** to proceed. Click **BACK** to return to your FinPro account.

You will see the eligible qualifications, annual program fees, and the CE learning plan that will be assigned to you, as you move through the enrollment process. Carefully review this information before proceeding with the payment.

Review the list of eligible FINRA and/or state qualifications and the deadlines, then check the box next to the qualification(s) you want to enroll in the program(s).

Note: Enrollment in the MQP is one of the requirements to enroll in the AG EVEP; however, the IAR EVEP does not require enrollment in the MQP.

Program Enrollment

Select Qualifications for Enrollment

FINRA Qualifications are extended under FINRA Maintaining Qualifications Program (MQP).

MQP Annual participation fee is \$100, regardless of the number of eligible qualifications selected. Individuals who enroll later may be required to pay multiple annual fees depending on when they enter the program.

Qualifications	Associated Registration Category	Enrollment Deadline
<input checked="" type="checkbox"/> SIE,S22T0	DR - Direct Participation Program Representative (S22T0)	2026-12-31
<input checked="" type="checkbox"/> SIE,S6T0	IR - Investment Company and Variable Contracts Products Rep. (S6T0)	2026-12-31
<input checked="" type="checkbox"/> SIE,S7T0	GS - Full Registration/General Securities Representative (S7T0)	2026-12-31
<input checked="" type="checkbox"/> S24	GP - General Securities Principal (S24)	2026-12-31
<input checked="" type="checkbox"/> S53	MP - Municipal Securities Principal (S53)	2026-12-31

State Qualifications are extended under NASAA Exam Validity Extension Program (EVEP).

The annual participation fee for EVEP is \$35 for each qualification selected. Individuals who enroll later may be required to pay multiple annual fees depending on when they enter the program.

Qualifications	Associated Registration Category	Enrollment Deadline
<input checked="" type="checkbox"/> S63	AG - Agent	2026-12-31

☒ I have read and agree to the [FINRA MQP Terms And Conditions](#) and the [FINRA MQP Payment Agreement](#).
☒ I have read and agree to [NASAA's EVEP Terms And Conditions](#) and [NASAA's EVEP Payment Agreement](#).

BACK
CONTINUE

Check the box(es) to agree to each of the program-specific **Terms and Conditions** and program-specific **Payment Agreements**, then select **CONTINUE**.

The **My Requirements** page details enrollment fees, the CE that will be assigned and the due date(s) for completion to remain in the program(s). Carefully consider whether you can complete the required MQP CE by the due date before proceeding with the payment.

Program Enrollment

About MQP/EVEP

Select Qualifications

My Requirements

Payment

Based on the qualifications that you selected and your termination date, the following annual fees must be paid and the following assignments need to be completed by the due dates shown below to remain in the program. Additional CE requirements will be assigned for each year of participation in the program.

Annual Fees

2025 FINRA Maintaining Qualifications Program Fee	\$100
2025 NASAA's AG EVEP Fee	\$35
2024 FINRA Maintaining Qualifications Program Fee	\$100
2024 NASAA's AG EVEP Fee	\$35
Total Amount Due	\$270.0

Assignments

To maintain your FINRA and State Agent Qualifications, you must complete the assignments below after enrollment.

2024

Element Type	Assignments	Due Date
Regulatory	Applying Regulation BI	2026-12-31
Regulatory	Mitigating Cybersecurity Risks	2026-12-31
Regulatory	Combating Financial Exploitation: Protecting Seniors and Those With Diminished Capacity	2026-12-31
Regulatory	Managing Online Presence: Communications with the Public and Social Media	2026-12-31
Regulatory	Supervision and Social Media	2026-12-31
Regulatory	Applying Municipal Security Advertisement Standards	2026-12-31

2025		
Element Type	Assignments	Due Date
Regulatory	Amended Rule Overview: Rule 3240 (Prohibition on Borrowing From or Lending to Customers)	2026-12-31
Regulatory	Reg BI: Understanding the Investment or Investment Strategy	2026-12-31
Regulatory	Customer Screening Overview	2026-12-31
Regulatory	Communicating Compliantly	2026-12-31
Regulatory	Ensuring Teams Communicate Compliantly	2026-12-31
Regulatory	Municipal Securities Customer Account Transfers	2026-12-31
Practical	Introduction to the Maintaining Qualifications Program	2026-12-31
Practical	2025 Annual Compliance Review	2026-12-31
Practical	2025 Annual Industry Priorities Review	2026-12-31
Practical	2025 Annual AML Review	2026-12-31
Practical	2025 Supervisory Trends Review	2026-12-31
Practical	Joining a New Firm: Adapting to Policies and Procedures	2026-12-31
Practical	Regulatory Concerns for Products	2026-12-31
Practical	Foreign Corrupt Practices Act: Avoiding Improper Payments	2026-12-31
Practical	Exploring the Changing Investor Landscape: The FINRA Foundation's National Financial Capability Study	2026-12-31
		BACK CONTINUE

Select **CONTINUE** to advance to the payment screen.

Program Enrollment

About MQP/EVEP
Select Qualifications
My Requirements
Payment

PAYMENT METHOD

☐ US Bank Checking Account
 ☒ Card

Card

Visa, MasterCard, Amex and Discover cards are accepted at this time.

Country: United States of America

Full Name (on card): Full Name

Card Number: 0000000000

Expiration Date: Month: 01 Year: 2029

State/District/Outlying area: Alaska

ZIP code: 12345

TERMS AND CONDITIONS

☒ I agree to the following terms and conditions

CANCEL SUBMIT

CHARGES

2024 NASAA's AG EVEP Fee	\$35.00
2025 NASAA's AG EVEP Fee	\$35.00
2024 FINRA Maintaining Qualifications Program Fee	\$100.00
2025 FINRA Maintaining Qualifications Program Fee	\$100.00
Total	\$270.00

Select your payment method and check the box to agree to the terms and conditions, then review before you click **SUBMIT**. Once your payment has been submitted, a confirmation screen will display.

Program Enrollment

About MQP/EVEP
Select Qualifications
My Requirements
Payment

Payment Request Confirmation

Thank you. Your \$70.00 payment was successfully submitted to FINRA on 06/11/2025.

Payment details are provided below.

Fee Type	Payment Amount	Invoice Number
2024 NASAA's AG EVEP Fee	\$35.00	
2025 NASAA's AG EVEP Fee	\$35.00	

You may wish to print this page and save the payment information for your records.

Once FINRA has processed your request, a confirmation will be emailed to

OK

Your qualifications are not enrolled in the programs until your payment is confirmed.

Click **OK** to return to your FinPro account **My Dashboard** page.

Your MQP and EVEP enrollment statuses will be displayed under the **Continuing Education Program Status** section on your FinPro account **Dashboard**. Review the information on your **Continuing Education Details Current CE** tab carefully to understand the program requirements, such as your assigned CE and CE due dates.

My Dashboard

My Tasks

Confirm that your email addresses are up to date: [View Details](#)

Personal Email: [EDIT](#) [CONFIRM](#)

Confirm that your home address is up to date: [View Details](#)

Current Address: [EDIT](#)

Maintaining Qualifications Program (MQP) Required Due on 09/25/2025

[View Program Details](#)

2023 Assignments (13) [Link](#)

2023 Regulatory Element Introduction and Required Acknowledgements [Launch CE](#)

Shortcuts

[Print Profile](#)

[View US](#)

[Print CE Transcript](#)

[Go to Legacy FinPro](#)

[Go to FINRA.org](#)

Continuing Education Program Status

Reg Element CE Not Required

MQP CE Required

MQP Enrolled

AG EVEP Enrolled

IAR CE Not Required

IAR EVEP Enrolled

Launching MQP Continuing Education Courses

You can launch your assigned CE course(s) from one of two locations within FinPro.

My Dashboard – My Tasks

My Dashboard

My Tasks

Confirm that your email addresses are up to date: [View Details](#)

Personal Email: [EDIT](#) [CONFIRM](#)

Confirm that your home address is up to date: [View Details](#)

Current Address: [EDIT](#)

Maintaining Qualifications Program (MQP) Required Due on 09/25/2025

[View Program Details](#)

2023 Assignments (13) [Link](#)

2023 Regulatory Element Introduction and Required Acknowledgements [Launch CE](#)

New Rule Overview: Rule 3241 [Launch CE](#)

Amended Rules 1210 and 1240: CE Transformation [Launch CE](#)

[Show 10 more Assignment\(s\)](#)

Shortcuts

[Print Profile](#)

[View US](#)

[Print CE Transcript](#)

[Go to Legacy FinPro](#)

[Go to FINRA.org](#)

Continuing Education Program Status

Reg Element CE Not Required

MQP CE Required

MQP Enrolled

AG EVEP Enrolled

IAR CE Not Required

IAR EVEP Enrolled

Select **Launch CE** for each course. The list of assigned CE course(s) displays a maximum of three rows by default; however, you can click **show more assignments** to view your additional requirements.

My CE page – Continuing Education Details – Current CE tab

The screenshot shows the 'My Continuing Education' page with the 'Current CE' tab active. Under the 'Continuing Education Status' section, it shows 'Reg Element CE' as 'Not Required', 'MQP' as 'Enrolled' on 03/21/2024, 'IAR CE' as 'Not Required', and 'IAR EVEP' as 'Enrolled' on 01/23/2025. The 'Continuing Education Details' section shows the 'MQP' with a progress bar at 0 out of 13 complete. A table lists three assignments with 'Launch CE' buttons. A 'Show 10 more Assignments' link is at the bottom of the table.

2023 Assignments (13)	Element Type	Due Date	Status	Completion Date	Action
2023 Regulatory Element Introduction and Required Acknowledgements	Practical	12/30/2025	Assigned		Launch CE
New Rule Overview: Rule 3241	Practical	12/30/2025	Assigned		Launch CE
Amended Rules 1210 and 1240: CE Transformation	Practical	12/30/2025	Assigned		Launch CE

[Show 10 more Assignments](#)

Use the left navigation panel to go to the **My CE** page **Continuing Education Details** section, then select the **Current CE** tab to view your **MQP CE learning plan**. The list of assigned CE courses displays a maximum of three rows by default, with incomplete assignments at the top. You can click **show more assignments** to view your additional requirements.

Additional MQP and EVEP Information in FinPro

1. Enrolled Qualifications

The **Current CE** tab details qualifications that have been successfully enrolled in the MQP and EVEP.

Qualification Info

- Enrolled Qualifications
- Previously Enrolled Qualifications
- Eligible Qualifications

FinPro Gateway™ User: [User Name]

Continuing Education Details

Current CE | CE History | CE Inactive History

Maintaining Qualifications Program (MQP) 16 out of 16 complete **Complete**

[View Program Details](#)

MQP provides eligible individuals with the option to stay current on industry knowledge and extend their exam validity by completing annual CE.

Enrolled On: 09/23/2023

2025 Assignments (16)	Element Type	Due Date	Status	Completion Date	Action
Amended Rule Overview: Rule 3240 (Prohibition on Borrowing From or Lending to Customers)	Regulatory	12/31/2025	Complete	04/11/2025	-
Reg BI: Understanding the Investment or Investment Strategy	Regulatory	12/31/2025	Complete	04/11/2025	-
Customer Screening Overview	Regulatory	12/31/2025	Complete	04/11/2025	-

[Show 13 more Assignments](#)

Currently Enrolled Qualifications

FINRA Qualifications

FINRA Qualifications are extended under the FINRA Maintaining Qualifications Program (MQP).

Qualifying Exams	Registration Category	Original Validity Date	Current Validity Date	5 Year Validity Date
SIE	-	-	-	12/31/2029
S7TO	GS - Full Registration/General Securities Representative	-	-	12/31/2029
S9	SU - General Securities Sales Supervisor	-	12/31/2026	09/20/2028

[Show 1 more Assignments](#)

Agent Exam Validity Extension Program (AG EVEP) **Complete**

[View Program Details](#)

AG EVEP provides eligible individuals the opportunity to stay current on industry knowledge by completing annual MQP CE and extend the validity of the Series 63 or Series 63 component of the Series 66 exam for up to five years in participating jurisdictions.

Enrolled On: 06/11/2025

Because you are enrolled in MQP, you must complete your MQP CE to satisfy your AG EVEP CE requirements.

Exams (1)	Original Validity Date	Current Validity Date	5 Year Validity Date
S63	12/31/2026	12/31/2026	12/31/2029

2. Previously Enrolled Qualifications

The **CE History** tab details qualifications that are no longer enrolled. This section will only appear if you have qualifications that are no longer enrolled.

My Continuing Education [PRINT CE TRANSCRIPT](#)

Continuing Education Status

Reg Element CE: **Not Required** | MQP: **Enrolled** on 09/23/2023 | IAR CE: **Required** by 12/31/2025

MQP CE: **Satisfied** on 04/11/2025 | AG EVEP: **Eligible** until 12/31/2026 | IAR EVEP: **Eligible** until 12/31/2025

Continuing Education Details

Current CE | **CE History** | CE Inactive History

Annual Regulatory Element CE

Maintaining Qualifications Program (MQP)

[View Program Details](#)

Previously Enrolled Exams

FINRA Qualifications

No FINRA qualifications have been removed from the FINRA MQP.

State Qualifications

Qualifying Exams	Registration Category	Enrollment Date	Program Enrollment End Date
S65	RA - Investment Adviser Representative	01/19/2024	04/11/2025

3. Eligible Qualifications

Qualifications you have which are not enrolled in MQP, but may be eligible, are shown here. The list displays a maximum of three rows by default; however, you can click **show more** to view additional qualifications.

Similarly, qualifications that may be eligible for the AG EVEP or IAR EVEP will be shown in separate EVEP sections on the **Current CE tab**. You may click **Enroll Now** if you wish to add these qualifications to your enrollment(s).

Maintaining Qualifications Program (MQP)

[View Program Details](#)

MQP provides eligible individuals with the option to stay current on industry knowledge and extend their exam validity by completing annual CE.

Eligible Until: 12/31/2025
Amount Due: \$200.00

[ENROLL](#)

Eligible Qualifications

FINRA Qualifications

You have additional FINRA qualifications you are eligible to enroll. [Add Exam\(s\)](#)

Qualifying Exams	Registration Category	Enrollment Deadline
SE,S2ZTD	DR - Direct Participation Program Representative	12/31/2026
S24	GP - General Securities Principal	12/31/2026
SE,S7TD	GS - Full Registration/General Securities Representative	12/31/2026

[Show 2 more Assignments](#)

Agent Exam Validity Extension Program (AG EVEP)

[View Program Details](#)

AG EVEP provides eligible individuals the opportunity to stay current on industry knowledge by completing annual MQP CE and extend the validity of the Series 63 or Series 63 component of the Series 66 exam for up to five years in participating jurisdictions.

Eligible Until: 12/31/2025
Amount Due: \$70.00

[ENROLL](#)