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WASHINGTON, D.C. 20549 Amendment No. (req. for Amendments * Filing by Financial Industry Regulatory Authority Pursuant to Rule 19b-4 under the Securities Exchange Act of 1934 Initial* Amendment* Withdrawal Section 13(b)(2)* Section of Time Period If the Art(f)(1) If the Art(f)(2) If the Art(f)(2) If the Art(f)(2) If the Art(f)(3) If the Art(f)(3) If the Art(f)(4) If the Art(f)(3) If the Art(f)(3) If the Art(f)(3) If the Art(f)(4) If the Art(f)(3) If the Art(f)(5) If the Art(f)(4) If the Art(f)(5) If the Art(f)(5) If the Art(f)(5) <td colspan="7">Required fields are shown with yellow backgrounds and asterisks.</td> <td colspan="2">Estimated average burden</td>	Required fields are shown with yellow backgrounds and asterisks.							Estimated average burden		
Pursuant to Rule 19b-4 under the Securities Exchange Act of 1934 Initial * Amendment * Withdrawal Sociation 19(b)(2) * Section 19(b)(3)(A) * Section 19(b)(3)(B) * Section 19(b)(WASHINGTON, D.C. 20549									
Cuttor: You are withdrawing this filing Rule Pilot Extension of Time Period Date Expires * Pilot Composed change pursuant to the Payment, Clearing, and Settlement Act of 2010 Security-Based Swep Submission pursuant to the Securities Exchange Act of 1934 Section 806(e)(1) * Section 806(e)(2) * Fielded 2 Sent As Paper Document Description Provide a brief description of the action (limit 250 characters, required when initial is checked *). Provide a brief description of the action (limit 250 characters, required when initial is checked *). Provide a brief description and e-mail address of the person on the staff of the self-regulatory organization present to respond to questions and comments on the action. First Name * John Last Name * Nachmann Tile * Associate General Counsel, Regulatory E-mail * john.nachmann@finra.org Tile * Associate General Counsel, Regulatory Pursuant to the requirements of the Securities Exchange Act of 1934, has duty caused this filing to be signed on its behalf by the undersigned thereunto duty authorized. (Tile *) Description Pursuant to the requirements of the Securities Exchange Act of 1934, has duty caused this filing to be signed on its behalf by the undersigned thereunto duty authorized. (Tile *) Deter Cleaking the buttor at right will gipality ging and took thereating buttor as a gipality buttory as a gipalit										
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Section 806(e)(1)* Section 806(e)(2)* Section 3C(b)(2)* Exhibit 3 Sert As Paper Document Exhibit 3 Sert As Paper Document Description Provide a brief description of the action (limit 250 characters, required when Initial is checked *). Proposed Rule Change to Amend FINRA Rule 8312 (FINRA BrokerCheck Disclosure) Contact Information Provide the name, telephone number, and e-mail address of the person on the staff of the self-regulatory organization prepared to respond to questions and comments on the action. First Name * John Last Name * Machmann Title * Associate General Counsel, Regulatory E-mail * john.nachman@finra.org Telephone * (240) 386-4816 Fax (202) 728-8264 Pursuant to the requirements of the Securities Exchange Act of 1934, has duly caused this filing to be signed on its behalf by the undersigned thereunto duly authorized. (Title *) Date (241) 386-4816 Fax (202) 728-8264 Vice President and Associate General Counsel (Mare *) NOTE: Clocking the button at right will digital sign and look Intellip Shaikun, phillip.shaikun@finra.org Thillip Shaikun, phillip.shaikun@finra.org Distribute State of the section at right will digital sign at a privide Intellip Shaikun, phillip.shaikun@finra.org Phillip Shaikun, phillip.shaikun@finra.org	1 liot	for Commission Action * Date Expires * Date Expires * Date Expires *								
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SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549							
For complete Form 19b-4 instructions please refer to the EFFS website.							
Form 19b-4 Information * Add Remove View	The self-regulatory organization must provide all required information, presented in a clear and comprehensible manner, to enable the public to provide meaningful comment on the proposal and for the Commission to determine whether the proposal is consistent with the Act and applicable rules and regulations under the Act.						
Exhibit 1 - Notice of Proposed Rule Change * Add Remove View	The Notice section of this Form 19b-4 must comply with the guidelines for publication in the Federal Register as well as any requirements for electronic filing as published by the Commission (if applicable). The Office of the Federal Register (OFR) offers guidance on Federal Register publication requirements in the Federal Register Document Drafting Handbook, October 1998 Revision. For example, all references to the federal securities laws must include the corresponding cite to the United States Code in a footnote. All references to SEC rules must include the corresponding cite to the Code of Federal Regulations in a footnote. All references to Securities Exchange Act Releases must include the release number, release date, Federal Register cite, Federal Register date, and corresponding file number (e.g., SR-[SRO] -xx-xx). A material failure to comply with these guidelines will result in the proposed rule change being deemed not properly filed. See also Rule 0-3 under the Act (17 CFR 240.0-3)						
Exhibit 1A- Notice of Proposed Rule Change, Security-Based Swap Submission, or Advance Notice by Clearing Agencies * Add Remove View	The Notice section of this Form 19b-4 must comply with the guidelines for publication in the Federal Register as well as any requirements for electronic filing as published by the Commission (if applicable). The Office of the Federal Register (OFR) offers guidance on Federal Register publication requirements in the Federal Register Document Drafting Handbook, October 1998 Revision. For example, all references to the federal securities laws must include the corresponding cite to the United States Code in a footnote. All references to SEC rules must include the corresponding cite to the Code of Federal Regulations in a footnote. All references to Securities Exchange Act Releases must include the release number, release date, Federal Register cite, Federal Register date, and corresponding file number (e.g., SR-[SRO] -xx-xx). A material failure to comply with these guidelines will result in the proposed rule change, security-based swap submission, or advance notice being deemed not properly filed. See also Rule 0-3 under the Act (17 CFR 240.0-3)						
Exhibit 2 - Notices, Written Comments, Transcripts, Other Communications Add Remove View Exhibit Sent As Paper Document	Copies of notices, written comments, transcripts, other communications. If such documents cannot be filed electronically in accordance with Instruction F, they shall be filed in accordance with Instruction G.						
Exhibit 3 - Form, Report, or Questionnaire Add Remove View Exhibit Sent As Paper Document	Copies of any form, report, or questionnaire that the self-regulatory organization proposes to use to help implement or operate the proposed rule change, or that is referred to by the proposed rule change.						
Exhibit 4 - Marked CopiesAddRemoveView	The full text shall be marked, in any convenient manner, to indicate additions to and deletions from the immediately preceding filing. The purpose of Exhibit 4 is to permit the staff to identify immediately the changes made from the text of the rule with which it has been working.						
Add Remove View	The self-regulatory organization may choose to attach as Exhibit 5 proposed changes to rule text in place of providing it in Item I and which may otherwise be more easily readable if provided separately from Form 19b-4. Exhibit 5 shall be considered part of the proposed rule change.						
Partial Amendment Add Remove View	If the self-regulatory organization is amending only part of the text of a lengthy proposed rule change, it may, with the Commission's permission, file only those portions of the text of the proposed rule change in which changes are being made if the filing (i.e. partial amendment) is clearly understandable on its face. Such partial amendment shall be clearly identified and marked to show deletions and additions.						