CERTIFICATE OF SERVICE

I hereby certify that on was filed and sent by email to:

, a true and correct copy of this filing

FINRA Office of Hearing Officers Email: OHOCaseFilings@finra.org 1700 K Street, NW Washington, DC 20006

and

FINRA Department of Enforcement [Note: Send to the Enforcement attorney of record for the case]

Respectfully submitted,

Name:

Address:

Phone:

Email: