

**FINANCIAL INDUSTRY REGULATORY AUTHORITY
OFFICE OF HEARING OFFICERS**

DEPARTMENT OF ENFORCEMENT,

Complainant,

v.

CRD No.

Respondent.

Expedited Proceeding
No.

STAR No.

Hearing Officer –

AFFIDAVIT OF

I swear that the testimony I will give in this proceeding will be truthful.

Respectfully submitted,

Signature: _____

Name:

Date Executed:

Sworn to and subscribed before me this _____ day of _____, _____

Signature: _____

Notary Printed Name:

Notary Public

My commission expires: _____, _____.